





# UN HUMAN RIGHTS THEORY OF CHANGE AND RESULTS FRAMEWORK

WHAT WE DO

- Provide expert legal advice on human rights issues
- Facilitate learning and knowledge transfer
- · Deliver human rights education
- · Raise human rights awareness
- Communicate
- Monitor and publicly report on human rights situations
- · Advocate for human rights
- Directly protect civil society members and human rights defenders
- Facilitate **dialogue** between diverse stakeholders on human rights issues
- Build networks and alliances to extend human rights promotion and protection
- Enable the functioning of the international human rights mechanisms

**OUR PILLARS** 

- To strengthen the rule of law and accountability for human rights violations
- To enhance and protect **civic space** and public participation
- To enhance equality and counter discrimination
- To integrate human rights into sustainable development
- To support early warning, prevent violence and protect human rights in situations of conflict and insecurity
- To implement the outcomes of international human rights mechanisms more fully

THE
RESULTS WE
CONTRIBUTE
TO

- State laws and policies protect and promote human rights
- State institutions, non-State actors and the private sector promote, protect and respect human rights
- State accountability mechanisms monitor, investigate and provide redress for human rights violations
- Public participation in policymaking processes grows, particularly by

- women and members of groups facing discrimination
- Public support grows for protection of human rights
- Human rights are effectively integrated into UN policies and programmes
- The international community responds effectively to critical human rights situations and issues
- Global and regional human rights mechanisms and bodies effectively promote and protect human rights
- International human rights law and standards progress
- Member States and other actors engage with United Nations human rights mechanisms

**GOAL** 

ALL HUMAN RIGHTS ARE ACHIEVED FOR ALL

## ACHIEVEMENT OF OHCHR TARGETS FOR 2018-2021 -**PROGRESS IN 2019**

| THE TYPE OF RESULTS WE CONTRIBUTE TO  | OHCHR PROGRAMME<br>INDICATOR   | WHAT WE EXPECT TO HAVE CONTRIBUTED TO BY 2021, IN COOPERATION WITH OUR PARTNERS   | REPORTED<br>PROGRESS AND<br>ACHIEVEMENT <sup>1</sup> | PILLARS |
|---|--|---|--|---------|
| State laws and policies protect and promote human rights.   | # of countries of engagement* where the level of compliance of legislation/policy with international human rights standards in selected human rights areas has significantly improved.           | In 63 countries*, laws and policies will have significantly increased protection and promotion of human rights in selected human rights areas. <sup>3</sup>                   | <b>35 countries*</b> (29 in 2018)                    |         |
| State institutions,<br>non-State actors<br>and the private<br>sector, promote,<br>protect and<br>respect human<br>rights. | # of countries of engagement<br>where NHRIs have been<br>established or have improved<br>compliance with international<br>standards (Paris Principles).  | In 35 countries*, national human rights institutions will have been established or will have improved their compliance with international standards (the Paris Principles).   | 19 countries*<br>(16 in 2018)                        |         |
|   | # of countries of engagement where the level of compliance of selected State institutions and programmes with international human rights standards has significantly improved.                   | In 54 countries*, state institutions, non-State actors or the private sector will have increased their contribution to the promotion, protection and respect of human rights. | 27 countries*<br>(20 in 2018)                        |         |
|   | # of countries of engagement<br>where human rights trainings have<br>been institutionalized in one or<br>more selected human rights areas.   | In 15 countries*, human rights education and training programmes will have been institutionalized.  | <b>10 countries*</b> (8 in 2018)                     |         |
|   | # of countries of engagement where the use of international human rights law in court proceedings and decisions has increased to a significant extent.   | In 15 countries*, the use of international human rights law and jurisprudence will have increased in court proceedings and decisions.   | 6 countries*<br>(5 in 2018)                          |         |
| State accountability mechanisms monitor, investigate and redress human rights violations.                                 | # of countries of engagement<br>where oversight, accountability or<br>protection mechanisms have been<br>established or have improved<br>compliance with international<br>human rights standards | In 46 countries*, oversight, accountability or protection mechanisms will have been established or improved their compliance with international human rights standards.       | 28 countries*<br>(19 in 2018)                        |         |

<sup>\*</sup> Refers to countries in which the Office plans to undertake activities towards a planned result. It is not limited to countries where OHCHR has a presence.

<sup>&</sup>lt;sup>2</sup> Due to potentially shifting priorities, these targets should not be understood as the baselines for upcoming programming cycle. Similarly, the achievements from the previous programme cycle cannot be understood as the baseline. Baselines have been provided for those targets that are cumulative, i.e., ratification.







<sup>&</sup>lt;sup>1</sup> Full or partial achievement of results or good progress made in achieving results.

|  | # of countries of engagement where transitional justice mechanisms which conform to international human rights standards have been established or have improved compliance with international human rights standards.                        | In 24 countries*, transitional justice mechanisms will have been established or improved their compliance with international human rights norms and standards.                                      | 9 countries*<br>(5 in 2018)       |            |
|--|--|---|-----------------------------------|------------|
|  | # of countries of engagement where the number of human rights violation cases raised by OHCHR which are positively addressed by the government has significantly increased.  | In 33 countries*, an increased<br>number of cases of human<br>rights violation raised by<br>OHCHR will have been<br>positively addressed.   | <b>17 countries*</b> (15 in 2018) |            |
| Public participation in policymaking processes grows, particularly by women and members of groups facing discrimination. | # of countries of engagement<br>demonstrating significant<br>improvement in the level of<br>meaningful participation in<br>selected public processes.  | In 35 countries*, the level of meaningful participation by selected groups in public processes will have improved significantly.  | 29 countries*<br>(20 in 2018)     |            |
|  | # of countries of engagement<br>where the level of use of<br>national protection systems has<br>increased significantly.   | In 23 countries*, the use of national protection systems will have increased significantly.   | 15 countries*<br>(14 in 2018)     |            |
| The international community responds effectively to critical human rights situations and issues.                         | # of countries of engagement where the international community has engaged in an objective and constructive way on specific issues raised by OHCHR.  | In 13 countries*, the international community will have engaged objectively and constructively on issues raised by OHCHR.   | 8 countries*<br>(6 in 2018)       |            |
| Human rights<br>are effectively<br>integrated into<br>UN policies and<br>programmes.                                     | # of countries of engagement with UN peace missions which have integrated international human rights standards and principles, as well as the recommendations of the human rights mechanisms, into their work to a significant extent.       | 16 UN peace and political missions will have integrated international human rights norms, standards and principles, as well as the recommendations of the human rights mechanisms, into their work. | 14 countries*<br>(10 in 2018)     | <b>* *</b> |
|  | # of countries of engagement with humanitarian operations which have integrated international human rights standards and principles, as well as the recommendations of the human rights mechanisms, into their work to a significant extent. | 12 humanitarian operations will have integrated international human rights norms, standards and principles, as well as the recommendations of the human rights mechanisms, into their work.         | <b>5 countries*</b> (6 in 2018)   |            |







|   | # of UN country teams where human rights norms, standards and principles, as well as the recommendations of the human rights mechanisms, have been integrated to a significant extent.   | 50 UN country teams will have satisfactorily integrated international human rights norms, standards and principles, as well as the recommendations of the human rights mechanisms, into their work. | <b>42 countries*</b> (30 in 2018)  |  |
|---|--|---|--|--|
|   | # of UN policies and programmes<br>at the global level which integrate a<br>human rights based approach to a<br>significant extent   | 25 UN policies and programmes at global level will have significantly integrated a human rights-based approach.   | 22 UN policies<br>have significantly<br>integrated HRBA<br>(In 2018 15 UN policies had<br>significantly integrated HRBA) |  |
| Widespread public support for protection of human rights.  Member States and other actors (regional organizations, NHRIs, civil society, UN) engage positively with UN human rights mechanisms. | # of countries of engagement<br>where the narrative on selected<br>HR topics has significantly<br>improved.  | In 23 countries*, the narrative on selected human rights topics will have improved significantly.   | <b>12 countries*</b> (9 in 2018)   |  |
|   | # of countries of engagement<br>where mechanisms for integrated<br>reporting and/or implementation of<br>outstanding recommendations of<br>treaty bodies, special procedures<br>or Human Rights Council are in<br>place or increasingly functioning. | In 68 countries*, mechanisms for integrated reporting or implementation of recommendations of international human rights mechanisms will have been put in place or have improved functioning.       | <b>41 countries</b> (22 in 2018)   |  |
|   | Total number of international human rights treaties ratified.  | 100 additional ratifications<br>of international human rights<br>treaties.<br>Baseline: 2,233   | 69 ratifications in<br>2018/19   |  |
|   | Total number of reservations withdrawn from international human rights treaties  | 10 reservations will have been withdrawn from international human rights treaties.  | 1  |  |
|   | Percentage of countries that submit reports to treaty bodies on time.  | 35% of countries will have submitted reports to treaty bodies in a timely manner. Baseline: 32%   | 38% of reports<br>submitted on time in<br>2019   |  |
|   | # of countries, which have issued a standing invitation to special procedures mandate holders.   | 125 countries will have issued<br>a standing invitation to special<br>procedures mandate holders.<br>Baseline: 118  | 126 Member States<br>and one non-Member<br>Observer State  |  |
|   | # of countries for which requests<br>for visits of thematic special<br>procedures have resulted in at<br>least one visit.  | In 63 countries, requests<br>for visits of thematic special<br>procedures will have resulted<br>in a visit.   | 168 visits to 79<br>countries in 18/19   |  |
|   | Rate of response from governments to special procedures communications.  | 60% of special procedures' communications will have received a response from Governments. Baseline: 57.6%   | 45% per cent   |  |
|   |  |   |  |  |







|  | Percentage of reprisals raised<br>by OHCHR, which are positively<br>addressed by the Government.   | 50% of the cases of reprisals against individuals for cooperation with HR mechanisms that are reported by OHCHR will have been addressed.   | The 2019 report (A/HRC/42/30) included public allegations pertaining to 48 States, including summaries of formal responses to OHCHR from a third of total States on new and ongoing cases during the reporting period (1 June 2018 to 31 May 2019). |  |
|--|--|---|---|--|
|  | # of countries of engagement with<br>a significant number of substantive<br>submissions or submitting actors<br>to UN human rights mechanisms.                           | In 51 countries* the number of submissions to UN human rights mechanisms will have increased significantly.   | <b>33 countries</b> (27 in 2018)  |  |
|  | Total number of substantive submissions from national human rights institutions, civil society organizations, UN entities and individuals to UN human rights mechanisms. | 15,000 substantive submissions to UN human rights mechanisms will have been received from national human rights institutions, civil society organizations, UN entities and individuals. | 14,055 submissions<br>to UN Human rights<br>mechanisms in<br>2018/19  |  |
| International<br>human rights<br>laws and<br>standards are<br>elaborated.  | # of thematic areas where international and/or regional human rights law or standards have been strengthened/ developed.   | In 12 areas, international/<br>regional human rights law/<br>standards will have been<br>strengthened.  | 9 areas   |  |
|  | # of countries which submitted or updated common core documents.   | 85 countries will have submitted or updated their common core documents.  | 33 common core<br>documents submitted<br>in 2018/19   |  |
| International<br>and regional<br>human rights<br>mechanisms and<br>bodies promote<br>and protect<br>human rights<br>effectively. | Degree of progress made in improving the level of harmonization of treaty bodies' work.  | Harmonization of treaty<br>bodies' work will have<br>improved significantly.  | 252 (226 in 2018) State parties availing themselves to the simplified reporting procedure and all committees having adopted and endorsed the framework for concluding observations.   |  |
|  | Degree of coordination among the special procedures  | A high degree of coordination among the special procedures will have been achieved.   | 79% of communications were issued jointly by two or more mandate holders  |  |







## ABBREVIATIONS AND ACRONYMS

## \_.

Artificial intelligence

#### ΑU

African Union

## **AWPs**

**Annual Workplans** 

## **BINUH**

United Nations Integrated Office in Haiti

#### CAT

- Committee against Torture and other Cruel, Inhuman or Degrading Treatment or Punishment
- Convention against Torture and other Cruel, Inhuman or Degrading Treatment or Punishment

## CCA

Common Country Analysis

#### CED

Committee on Enforced Disappearances

## **CEDAW**

- Committee on the Elimination of Discrimination against Women
- Convention on the Elimination of All Forms of Discrimination against Women

#### CERD

Committee on the Elimination of Racial Discrimination

## CESCR

Committee on Economic, Social and Cultural Rights

#### **CMW**

Committee on Migrant Workers

#### CRC

- Committee on the Rights of the Child
- Convention on the Rights of the Child

## **CRPD**

- Committee on the Rights of Persons with Disabilities
- Convention on the Rights of Persons with Disabilities

## CSO

Civil society organization

#### DCO

**UN Development Coordination Office** 

## **DFS**

Department of Field Support

#### DPA

Department of Political Affairs

## **DPO**

Department of Peacekeeping Operations

#### ECLAC

United Nations Economic Commission for Latin America and the Caribbean

#### **EMRIF**

Expert Mechanism on the Rights of Indigenous Peoples

#### **ERT**

**Emergency Response Team** 

#### **ESCR**

Economic, social and cultural rights

## EU

European Union

## FAO

Food and Agriculture Organization

#### **FFM**

Fact-Finding Mission

## **FGM**

Female genital mutilation

## **GANHRI**

Global Alliance for National Human Rights Institutions

#### GCM

Global Compact for Safe, Orderly and Regular Migration

#### HRA

Human rights adviser

#### **HRBA**

Human rights-based approach

#### HRC

**Human Rights Council** 

#### HRD

Human rights defender

#### **HRDDP**

Human Rights Due Diligence Policy

#### HDMMI

Human Rights Monitoring Mission in Ukraine

#### HPUF

Human Rights up Front Initiative

## IASC

Inter-Agency Standing Committee

## **ICPPED**

International Convention for the Protection of All Persons from Enforced Disappearance

#### **ICCPR**

International Covenant on Civil and Political Rights

## **ICCPR-OP1**

First Optional Protocol to the International Covenant on Civil and Political Rights

## ICCPR-OP2

Second Optional Protocol to the International Covenant on Civil and Political Rights, aiming at the abolition of the death penalty

#### ICERD

International Convention on the Elimination of All Forms of Racial Discrimination

#### **ICESCR**

International Covenant on Economic, Social and Cultural Rights

#### ICRMW

International Convention on the Protection of the Rights of All Migrant Workers and Members of their Families

## ICRC

International Committee of the Red Cross

## ICT

Information and communication technology

## ILO

International Labour Organization

#### IOM

International Organization for Migration

#### ITU

International Telecommunication Unit

#### **JPO**

Junior Professional Officer

#### **LDCs**

**Least Developed Countries** 

#### **LGBTI**

Lesbian, Gay, Bisexual, Transgender and Intersex persons

## **MINUJUSTH**

United Nations Mission for Justice Support in Haiti

## **MINUSCA**

United Nations Multidimensional Integrated Stabilization Mission in the Central African Republic

## **MINUSMA**

United Nations Multidimensional Integrated Stabilization Mission in Mali

## **MENA**

Middle East and North Africa

## **MONUSCO**

United Nations Organization Stabilization Mission in the Democratic Republic of the Congo

## **MOPAN**

Multilateral Organization Performance Assessment Network

#### MoU

Memorandum of Understanding

#### **MDTF**

Multi-Donor Trust Fund

## **MPTFO**

Multi-Partner Trust Fund Office

#### NGO

Non-Governmental Organization

## **NHRI**

National human rights institution

#### **NMRF**

National Mechanism for Reporting and Follow-up

## NRDT

National Recommendations Tracking Database

#### NPM

National Preventive Mechanism

#### **OCHA**

Office for the Coordination of Humanitarian Affairs

#### **OEAP**

Organizational Effectiveness Action Plan

#### **OHCHR**

Office of the High Commissioner for Human Rights

## **OMP**

**OHCHR Management Plan** 

## **OP-CAT**

Optional Protocol to the Convention against Torture and other Cruel, Inhuman or Degrading Treatment or Punishment

## **OP-CEDAW**

Optional Protocol to the Convention on the Elimination of All Forms of Discrimination against Women

## **OP-CRC-AC**

Optional Protocol to the Convention on the Rights of the Child on the involvement of children in armed conflict

#### **OP-CRC-SC**

Optional Protocol to the Convention on the Rights of the Child on the sale of children, child prostitution and child pornography

## **OP-CRC-IC**

Third Optional Protocol to the Convention on the Rights of the Child on a communications procedure

## **OPDs**

Organizations of persons with disabilities

## **OP-ICESCR**

Optional Protocol to the International Covenant on Economic, Social and Cultural Rights

## **PBF**

Peacebuilding Fund

## **PBSO**

Peacebuilding Support Office

## **PMS**

Performance Monitoring System

#### RBM

Results-Based Management

#### RC

Resident Coordinator

#### **SDGs**

Sustainable Development Goals

#### SIDS

Small Island Developing States

#### SGBV

Sexual and Gender-Based Violence

## **SMT**

Senior Management Team

## SOPs

Standard operating procedures

#### SPT

Subcommittee on Prevention of Torture and other Cruel, Inhuman or Degrading Treatment or Punishment

## **SWAP**

UN System-wide Action Plan on Gender Equality and Women's Empowerment

#### UDHR

Universal Declaration of Human Rights

#### **UHRI**

Universal Human Rights Index

#### **UNAMA**

United Nations Assistance Mission in Afghanistan

## UNAMID

African Union-United Nations Hybrid Operation in Darfur

## UNAMI

United Nations Assistance Mission for Iraq

## UNCT

**UN Country Team** 

## UNDAF

United Nations Development Assistance Framework

## **UNDP**

United Nations Development Programme

#### UNDIS

United Nations Disability Inclusion Strategy

## UNECE

United Nations Economic Commission for Europe

## UNESCO

United Nations Educational, Scientific and Cultural Organization

## UNFCCC

United Nations Framework Convention on Climate Change

## **UNFPA**

United Nations Population Fund

#### **UNGPs**

United Nations Guiding Principles on Business and Human Rights

## **UNHCR**

United Nations High Commissioner for Refugees

## UNICEF

United Nations Children's Fund

## **UNIOGBIS**

United Nations Integrated Peacebuilding Support Office in Guinea-Bissau

## UNIPP

United Nations Indigenous Peoples' Partnership

## **UNJHRO**

United Nations Joint Human Rights Office

## UNMIL

United Nations Mission in Liberia

## **UNMISS**

United Nations Mission in South Sudan

## **UNMSDF**

United Nations Multi-Country Sustainable Development Framework

## UNOCI

United Nations Operation in Côte d'Ivoire

## **UNODC**

United Nations Office on Drugs and Crime

## **UNOG**

United Nations Office at Geneva

## **UNPRPD**

United Nations Partnership on the Rights of Persons with Disabilities

## **UNSDCF**

United Nations Sustainable Development Cooperation Framework

## UNSDG

United Nations Sustainable Development Group

## UNSMIL

United Nations Support Mission in Libya

#### **UNSOM**

United Nations Assistance Mission in Somalia

## UNSSC

United Nations System Staff College

#### UPR

Universal Periodic Review

#### UNV

United Nations Volunteer

## **VFTC**

United Nations Voluntary Fund for Technical Cooperation in the Field of Human Rights

## **VNR**

Voluntary National Review

## **WHO**

World Health Organization

## **WHRD**

Woman human rights defender

## **WIPO**

World Intellectual Property Organization