

## Annexes



# Annex I: OHCHR's theory of change and results' framework

#### Theory of change

OHCHR's theory of change explains the intermediate results that the Office intends to contribute to in the pursuit of its long-term goal – all human rights for all. It articulates the rationale for the selection of those results and describes the Office's overall strategy to achieve them, which includes strategic interventions and the means by which those interventions are undertaken. For more information on OHCHR's theory of change please refer to the OHCHR Management Plan 2014-2017.

			Α	II human rights f	for a		
IDENTIFIED BY MECHANISMS		s-holders claim heir rights		Duty-bearers comply human rights obl			
IDENTIFIED MECHANISM		icipation	Com	pliance of laws, policies and i	nstituti	ons [EA1]	
IDEI MEC	[EA5	5]	Rati	fication [EA2]			National Protection
GAPS IGHTS			Acco	ountability [EA3]			Systems
TO G. N RIG			Disc	crimination [EA4]			
CHANGES RESPONDING TO GAPS INTERNATIONAL HUMAN RIGHTS	Civil society engagement with human rights mechanisms		State engagement with human rights mechanisms [EA6]				International
SPON VAL H			Inter	rnational/regional laws and ins	Protection Systems		
RES	[EA7	[EA7]		erence among human rights r			
IGES		Respor	nsiver	ness of the international comm	unity [	EA10]	Involvement of
CHAN	Hum		an rig	an rights mainstreamed within the UN [EA11]			other actors
	Standard settingMonitoring and reportingTechnical cooperation and advisory servicesAdvocacy and awareness-raising						Building partnerships
Interr	International Human Rights Field Headquarters Mechanisms						

#### Global and Thematic Expected Accomplishments

	Strengthening international human rights mechanisms			
	RIGHTS-HOLDERS CLAIM THEIR RIGHTS		DUTY-BEARERS COMPLY WITH THEIR OBLIGATIONS	
		[EA2]	Increased ratification of international human rights instruments and review of reservations, with a focus on instruments and regions with low ratification rates, including Optional Protocols	
[EA7]	Increased number and diversity of rights-holders, and of national human rights institutions and civil society actors acting on their behalf, making use of United Nations and regional human rights	[EA6]	<ul> <li>Establishment of participatory standing national coordinating bodies on reporting/replying to individual communications and enquiries; and integrated follow-up to recommendations of all human rights mechanisms</li> <li>Increased compliance and engagement of Member States with international human rights mechanisms</li> </ul>	
	mechanisms and bodies	[EA8]	Advances in the progressive development of international and regional human rights law in areas relevant to the thematic priorities	
		[EA9]	Enhanced synergies, complementarity and coherence within and between human rights mechanisms	
[EA10]		Early warning functions of human rights mechanisms are enhanced Increased interventions of the international community to promote and protect civil society actors, including against reprisals		
[EA11]	Enhanced systematic engagement	Enhanced systematic engagement by UNCTs with international human rights mechanisms		

	Enhancing eq	uality a	and countering discrimination	
	RIGHTS-HOLDERS CLAIM THEIR RIGHTS		DUTY-BEARERS COMPLY WITH THEIR OBLIGATIONS	
[EA5]	<ul> <li>National human rights institutions and civil society create and support participatory mechanisms to enhance equality and counter discrimination</li> <li>Increased and effective use of national protection systems by individuals and groups faced with discrimination</li> </ul>	[EA1] [EA2] [EA4]	<ul> <li>Increased use of anti-discrimination and equality standards by judges and prosecutors</li> <li>State agents and political and faith-based actors increasingly comply with human rights standards related to public freedoms and take measures for the development of an independent and pluralistic civil society</li> <li>Constitutions, laws and policies increasingly protect human rights, especially land and housing rights and with particular attention to non-discrimination and gender equality, in the context of development and exploitation of natural resources</li> <li>Legal frameworks, public policies and institutions are in place and functioning to combat sexual and gender-based violence, trafficking and related exploitation</li> <li>Increased ratification of international human rights instruments and review of reservations</li> <li>Legislation, policies and practices increasingly comply with anti-discrimination and equality standards</li> <li>Increased number of specialized equality bodies, focal points and NHRIs working on equality and non-discrimination in line with international standards</li> <li>Increased representation of marginalized and discriminated groups in State institutions and decision-making bodies</li> </ul>	
[EA7]	<ul> <li>Increased number and diversity of rights-holders, and of national</li> </ul>	[EA6]	Increased compliance and engagement of Member States with international human rights mechanisms	
	human rights institutions and civil society actors acting on their behalf, making use of United Nations and regional human rights mechanisms and bodies	[EA8]	Progressive development of international and regional human rights law in areas relevant to the thematic priorities	
[EA10]		<ul> <li>Increased integration of human rights in international and regional processes relevant to migration</li> <li>Increased responsiveness of the international community in ensuring accountability for gross human rights violations</li> </ul>		
[EA11]	Implementation of the Secretary-O Protection of Minorities and the U		Guidance Notes and Guidelines on Racial Discrimination and nous Peoples' Partnership	

	Combating impunity a	nd str	engthening accountability and the rule of law
	RIGHTS-HOLDERS CLAIM THEIR RIGHTS		DUTY-BEARERS COMPLY WITH THEIR OBLIGATIONS
[EA5]	<ul> <li>Increased use of national protection system by rights-holders, especially through strategic litigation on economic, social and cultural rights</li> <li>Civil society, in particular youth and women, increasingly advocate and claim their rights and protect themselves more effectively from reprisals</li> </ul>	[EA1] [EA2] [EA3]	<ul> <li>National justice systems, encompassing customary justice systems, established and functioning in accordance with international human rights norms and standards and increasingly applying them, including economic, social and cultural rights</li> <li>Increased compliance of national legislation, policies, programmes and institutions with international human rights norms and standards relating to the deprivation of liberty and the prohibition and prevention of torture and ill-treatment</li> <li>Increased number of States that have abolished the death penalty and/or, pending abolition, increasingly comply with relevant international human rights obligations</li> <li>Counter-terrorism legal frameworks, policies, strategies and institutions increasingly aligned with international human rights norms and standards</li> <li>Increased use of anti-discrimination and equality standards by judges and prosecutors</li> <li>Legal frameworks, public policies and institutions are in place and functioning to combat sexual and gender-based violence, trafficking and related exploitation</li> <li>Increased ratification of international human rights norms, standards and good practices</li> <li>Protection systems and accountability mechanisms are established and functioning in compliance with international human rights norms, and standards to monitor, investigate and redress acts of torture and ill-treatment and violations of the rights of persons deprived of their liberty</li> <li>National mechanisms provide for effective implementation of business and human rights abuses</li> </ul>
[EA7]	Increased number and diversity of rights-holders, and of NUDL and airil	[EA6]	Increased compliance and engagement of Member States with international human rights mechanisms
	and of NHRIs and civil society actors acting on their behalf, making use of UN and regional human rights mechanisms and bodies	[EA8]	Progressive development of international and regional human rights law in areas relevant to the thematic priorities
[EA10]	Increased responsiveness of the	internatio	nal community in ensuring accountability for gross human rights violations
[EA11]	<ul> <li>Enhanced coherence and effect terrorism policies</li> </ul>	tiveness o	of the UN in supporting the rule of law and human rights-compliant counter-

			an rights in development e economic sphere	
	RIGHTS-HOLDERS CLAIM THEIR RIGHTS		DUTY-BEARERS COMPLY WITH THEIR OBLIGATIONS	
[EA5]	Rights-holders meaningfully participate in the design and monitoring of public policies, budgets and development projects particularly affecting their human rights, especially their rights to food, housing,	[EA1]	<ul> <li>Constitutions, laws and policies increasingly protect human rights, especially land and housing rights and with particular attention to non-discrimination and gender equality, in the context of development and exploitation of natural resources</li> <li>Increased use of anti-discrimination and equality standards by judges and prosecutors</li> </ul>	
	water and sanitation, and their access to natural resources such	[EA2]	Increased ratification of international human rights instruments and review of reservations	
	<ul> <li>as land</li> <li>Civil society, in particular youth and women, increasingly advocate for and claim their rights; and protect themselves more effectively from reprisals</li> <li>Increased use of national</li> </ul>	[EA3]	<ul> <li>National mechanisms provide for effective implementation of business and human rights standards by States and the private sector, including remedies for human rights abuses</li> <li>Mechanisms and initiatives are adopted to increase human rights protection in contexts of conflict, violence and insecurity</li> </ul>	
	protection system by rights- holders, especially through strategic litigation on economic, social and cultural rights	[EA4]	Increased representation of marginalized and discriminated groups in State institutions and decision-making bodies	
[EA7]	<ul> <li>Increased number and diversity of rights-holders, and of NHRIs</li> </ul>	[EA6]	Increased compliance and engagement of Member States with international human rights mechanisms	
	and civil society actors acting on their behalf, making use of UN and regional human rights mechanisms and bodies	[EA8]	Advances in the progressive development of international and regional human rights law in areas relevant to the thematic priorities	
[EA10]	Global, regional, and national actor	Human rights are integrated in the formulation of and follow-up to the post-2015 development agenda Global, regional, and national actors increasingly integrate international human rights principles and standards, including the right to development, in their development, finance, trade and investment policies		
[EA11]	<ul> <li>Human rights standards and principles are integrated into UN development frameworks and the work of UN agencies, particularly on housing, water, sanitation and land</li> <li>The protection of human rights is an integral part of the international community's preparedness, response and recovery efforts in the context of humanitarian crises and is effectively integrated in the mandates, policies and actions of United Nations peacekeeping operations and special political missions</li> </ul>			

	Wide	ening t	he democratic space
	RIGHTS-HOLDERS CLAIM THEIR RIGHTS		DUTY-BEARERS COMPLY WITH THEIR OBLIGATIONS
[EA5]	<ul> <li>Increased participation of rights-holders, including women and discriminated groups, in public life at the national and local levels</li> <li>Civil society, in particular youth and women, increasingly advocate and claim their rights; and protect themselves more effectively from reprisals</li> <li>Rights-holders meaningfully participate in the design and monitoring of public policies, budgets and development projects particularly affecting their human rights, especially their rights to food, housing, water and sanitation, and their access to natural resources such as land</li> <li>Increased use of national protection system by rights-holders, sciently through strategic litigation on economic, social and cultural rights</li> </ul>	[EA1] [EA3] [EA4]	<ul> <li>Constitutions, laws, administrative measures and policies respect, protect and guarantee freedom of opinion and expression, including prohibition of incitement to hatred, peaceful assembly, association, conscience, religion and belief</li> <li>State agents and political and faith-based actors increasingly comply with human rights standards related to public freedoms and take measures for the development of an independent and pluralistic civil society</li> <li>Effective human rights education programmes, particularly for youth, established or strengthened</li> <li>National human rights institutions established and effectively functioning in accordance with the Paris Principles and other relevant international standards and recommendations</li> <li>Effective protection mechanisms and measures for civil society actors, including at-risk human rights defenders and media actors established and strengthened</li> <li>Increased representation of marginalized and discriminated groups in State institutions and decision-making bodies</li> </ul>
[EA7]	<ul> <li>Increased number and diversity of rights-holders, and of NHRIs</li> </ul>	[EA6]	Increased compliance and engagement of Member States with international human rights mechanisms
	and civil society actors acting on their behalf, making use of UN and regional human rights mechanisms and bodies	[EA8]	Advances in the progressive development of international and regional human rights law in areas relevant to the thematic priorities
[EA10]	<ul> <li>A10] Increased interventions of the international community to promote and protect civil society actors, including against reprisals</li> <li>Increased responsiveness of the international community to potential, emerging or existing human rights crisi situations, with human rights protection as an integral element of this response</li> </ul>		

			tion of human rights in situations of blence and insecurity	
	RIGHTS-HOLDERS CLAIM THEIR RIGHTS		DUTY-BEARERS COMPLY WITH THEIR OBLIGATIONS	
[EA5]	<ul> <li>Increased number and variety of stakeholders engaged in conflict prevention, peacebuilding and other violence reduction processes</li> <li>Civil society, in particular youth and women, increasingly advocate and claim their rights and protect themselves more effectively from reprisals</li> </ul>	[EA1] [EA3]	<ul> <li>Legal frameworks, public policies, State institutions, as well as non-State actors, regulating or engaged in situations of conflict, violence and insecurity increasingly comply with international human rights standards</li> <li>Legal frameworks, public policies and institutions are in place and functioning to combat sexual and gender-based violence, trafficking and related exploitation</li> <li>Constitutions, laws and policies increasingly protect human rights, especially land and housing rights and with particular attention to non-discrimination and gender equality, in the context of development and exploitation of natural resources</li> </ul>	
			<ul> <li>Mechanisms and initiatives are adopted to increase human rights protection in contexts of conflict, violence and insecurity</li> <li>Transitional justice mechanisms established and increasingly operating in accordance with international human rights norms, standards and good practices</li> </ul>	
		[EA4]	Increased representation of marginalized and discriminated groups in State institutions and decision-making bodies	
[EA7]	<ul> <li>Increased number and diversity of rights-holders, and of national</li> </ul>	[EA6]	Increased compliance and engagement of Member States with international human rights mechanisms	
	human rights institutions and civil society actors acting on their behalf, making use of United Nations and regional human rights mechanisms and bodies	[EA8]	Advances in the progressive development of international and regional human rights law in areas relevant to the thematic priorities	
[EA10]		Increased responsiveness of the international community to potential, emerging or existing human rights crisis situations, with human rights protection as an integral element of this response		
[EA11]	<ul> <li>The protection of human rights is an integral part of the international community's preparedness, response and recovery efforts in the context of humanitarian crises and is effectively integrated in the mandates, policies and actions of United Nations peacekeeping operations and special political missions</li> <li>Increased integration of human rights standards and principles into the UN's security policies and programmes, including the implementation of the Human Rights Due Diligence Policy on UN support to non-UN security forces,</li> </ul>			

#### **Global Management Outputs**

- 1. Strategic decisions are made in line with results-based management principles and are implemented in a timely manner (GMO 1)
- 2. Organizational work processes, systems and structures are aligned for increased efficiency (GMO 2)
- 3. A gender perspective is effectively integrated in all OHCHR policies, programmes and relevant processes (GMO 3)
- 4. Increased effectiveness in supporting human rights mechanisms (GMO 4)

- 5. Increased effectiveness in supporting field operations (GMO 5)
- 6. OHCHR staff has the necessary competencies and skills to effectively implement OHCHR's programme (GMO 6)
- 7. Improved awareness and understanding of and support to OHCHR's mission and programmes by Member States and other stakeholders (GMO 7)
- 8. The efficient management of human and financial resources (GMO 8)

## Annex II: Abbreviations and acronyms

BNUB	United Nations Office in Burundi
CAT	<ul> <li>Committee against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment</li> <li>Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment</li> </ul>
CCA	Common Country Assessment
CCD	Common Core Document
CED	Committee on Enforced Disappearances
CEDAW	<ul> <li>Committee on the Elimination of Discrimination against Women</li> <li>Convention on the Elimination of All Forms of Discrimination against Women</li> </ul>
CERD	Committee on the Elimination of Racial Discrimination
CERF	Central Emergency Response Fund
CESCR	Committee on Economic, Social and Cultural Rights
CMW	Committee on the Protection of Migrant Workers and Members of their Families
COI	Commission of Inquiry
CRC	<ul> <li>Committee on the Rights of the Child</li> <li>Convention on the Rights of the Child</li> </ul>
CRPD	<ul> <li>Committee on the Rights of Persons with Disabilities</li> <li>Convention on the Rights of Persons with Disabilities</li> </ul>
CSO	Civil Society Organization
DDPA	Durban Declaration and Programme of Action
DFS	Department of Field Support

DPA	Department of Political Affairs				
DPKO	Department of Peacekeeping Operations				
EA	Expected Accomplishment				
FAO	Food and Agriculture Organization				
GBV	Gender-Based Violence				
GMO	Global Management Output				
GPC	Global Protection Cluster				
HRA	Human Rights Adviser				
HRBA	Human Rights-Based Approach				
HRC	Human Rights Council				
HR Committee	Human Rights Committee				
HRDDP	Human Rights Due Diligence Policy				
HRUF	Human Rights Up Front				
IASC	Inter-Agency Standing Committee				
ICC	International Criminal Court				
ICC-NHRI	International Coordinating Committee of National Human Rights Institutions				
ICPPED	International Convention for the Protection of All Persons from Enforced Disappearance				
ICCPR	International Covenant on Civil and Political Rights				
ICERD	International Convention on the Elimination of All Forms of Racial Discrimination				
ICESCR	International Covenant on Economic, Social and Cultural Rights				
ICRMW	International Convention on the Protection of the Rights of All Migrant Workers and Members of Their Families				

IDP	Internally Displaced Person
וטו	Internally Displaced Feisoli
ILO	International Labour Organization
IOM	International Organization for Migration
LDCs	Least Developed Countries
LGBTI	Lesbian, Gay, Bisexual, Transgender and Intersex
MDGs	Millennium Development Goals
MINUSCA	United Nations Multidimensional Integrated Stabilization Mission in the Central African Republic
MINUSMA	United Nations Multidimensional Integrated Stabilization Mission in Mali
MINUSTAH	United Nations Stabilization Mission in Haiti
MONUSCO	United Nations Organization Stabilization Mission in the Democratic Republic of the Congo
NGO	Non-Governmental Organization
NHRI	National Human Rights Institution
NPM	National Preventive Mechanism
OCHA	Office for the Coordination of Humanitarian Affairs
OHCHR	Office of the High Commissioner for Human Rights
OMP	OHCHR Management Plan
OP-CAT	Optional Protocol to the Convention against Torture and Other Cruel, Inhuman or Degrading Treatment or Punishment
OP-CEDAW	Optional Protocol to the Convention on the Elimination of All Forms of Discrimination against Women
OP-CRC-AC	Optional Protocol to the Convention on the Rights of the Child on the
	Involvement of Children in Armed Conflict

OP-CRC-SC	Optional Protocol to the Convention on the Rights of the Child on the Sale of Children, Child Prostitution and Child Pornography
OP-CRC-IC	Third Optional Protocol to the Convention on the Rights of the Child on a Communications Procedure
OP-ICESCR	Optional Protocol to the International Covenant on Economic, Social and Cultural Rights
OP-ICCPR	Optional Protocol to the International Covenant on Civil and Political Rights
RBM	Results-Based Management
RC	Resident Coordinator
SDGs	Sustainable Development Goals
SIDS	Small Island Developing States
SGBV	Sexual and Gender-Based Violence
SPT	Subcommittee on Prevention of Torture
UNAMA	United Nations Assistance Mission in Afghanistan
UNAMID	African Union - United Nations Hybrid Operation in Darfur
UNAMI	United Nations Assistance Mission for Iraq
UNCT	United Nations Country Team
UNDAF	United Nations Development Assistance Framework
UNDG	United Nations Development Group
UNDP	United Nations Development Programme
UNECA	United Nations Economic Commission for Africa
UNEG	United Nations Evaluation Group
UNEP	United Nations Environment Programme

UNESCO	United Nations Educational, Scientific and Cultural Organization
UNFPA	United Nations Population Fund
UNHCR	United Nations High Commissioner for Refugees
UNICEF	United Nations Children's Fund
UNIOGBIS	United Nations Peacebuilding Support Office in Guinea-Bissau
UNITAR	United Nations Institute for Training and Research
UNMIL	United Nations Mission in Liberia
UNMISS	United Nations Mission in South Sudan

UNOCI	United Nations Operation in Côte d'Ivoire
UNODC	United Nations Office on Drugs and Crime
UNOG	United Nations Office at Geneva
UNSMIL	United Nations Support Mission in Libya
UNSOM	United Nations Assistance Mission in Somalia
UNV	United Nations Volunteers
UPR	Universal Periodic Review

### Annex III: OHCHR organization chart

